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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Masato HUMA et al.

Group Art Unit: 2653

Serial No.: 09/446,981

Examiner: Thang V. Tran

Filed: December 30, 1999

Confirmation No.: 3229

For: RECORDING MEDIUM, RECORDER AND PLAYER

Attorney Docket Number: 991504

Customer Number: 38834

REQUEST FOR RETURN OF FORM PTO-1449

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

October 12, 2004

Sir:

Applicant(s) filed an Information Disclosure Statement (IDS) on March 4, 2003. A copy of the IDS is attached hereto.

Applicant(s) respectfully request(s) that the Examiner return a copy of the Form PTO-1449 that accompanies the IDS, marked to indicate that the references listed therein have been considered by the Office.

The Examiner is encouraged to telephone the undersigned if the Examiner has any questions concerning this Request.

Respectfully submitted,

WESTERMAN, HATTORI, DANJELS & ADRIAN, LLP

Stephen G. Adrian

Attorney for Applicants Registration No. 32,878

1250 Connecticut Avenue, NW

Suite 700

Washington, DC 20036 Telephone: (202) 822-1100 Facsimile: (202) 822-1111

Attachments: Copy of IDS; Form PTO-1449 filed March 4, 2003 and copy of dated stamped

postcard SGA/jnj



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: HUMA, et al.

Group Art Unit: 2653

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INFORMATION DISCLOSURE STATEMENT AND STATEMENT PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents Washington, D.C. 20231

March 4, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the

attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

William L. Brooks

Attorney for Applicant Reg. No. 34,129

WLB/mla

Atty. Docket No. **991504** Suite 1000, 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

23850

PATENT TRADEMARK OFFICE

Enclosures:

PTO 1449

5 References

European Patent Office Communication

OCI 12 May 18

INFORMATION DISCLOSURE CITATION PTO-1449

Atty. Docket No. 991504	Serial No. 09/446,981		
Applicant(s): HUMA, et al.			
Filing Date: December 30, 1999	Group Art Unit: 2653		

U.S. PATENT DOCUMENTS

	Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
		AA	5,218,599	Tsuyoshi et al.	06/08/1993	369	275.1	
		AB						
		AC						. '}}
J		AD						
	<u> </u>	AE						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
 AF	97/09716	03/13/1997	PCT	Yes
 AG	0 720 159	07/03/1996	Europe	Yes
 AH	0 745 985	12/04/1996	Europe	Yes
 AI	0 777 227	06/04/1997	Europe	Yes
 AJ				

OTHER DOCUMENTS

	AK	Copy of European Patent Office Communication including European Search Report for corresponding European Patent Application 98929740 dated January 23, 2003.
Examiner		Date Considered



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CARD NO: 21019

U.S. Patent Application Serial No: 09/446,981

Serial No: 09/446,981
Patent Number:

Applicant(s): HUMA, et al.

Docket No: 991504 Filed: 12/30/99

Issued:

Papers filed herewith on: 03/04/03

Other OD 5 1449; 5 Refs; Cpy Eur Com w/ Srch Rpt



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case. WLB/mla